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CONFIRMATION NO. 5799

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
10/644,281	08/20/2003 RULE	705	3696	36287-03801
APPLICANTS Christian Spieler, Frankfurt, GERMANY; Jonathan Slater, London, UNITED KINGDOM; Brigitta Wallisch, London, UNITED KINGDOM; Jonathan Adams, London, UNITED KINGDOM;				
** CONTINUING DATA ***** This appin claims benefit of 60/406,909 08/28/2002				
** FOREIGN APPLICATIONS *****				
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/16/2003				
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /WILLIAM E RANKINS/ Acknowledged Examiner's signature	<input type="checkbox"/> Met after Allowance Initials	STATE OR COUNTRY GERMANY	SHEETS DRAWINGS 6	TOTAL CLAIMS 24
INDEPENDENT CLAIMS 6				
ADDRESS MILBANK, TWEED, HADLEY & MCCLOY 1 CHASE MANHATTAN PLAZA NEW YORK, NY 10005-1413 UNITED STATES				
TITLE System and method for manager enhanced return on collateralized debt obligation transactions				
FILING FEE RECEIVED 1204	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	